

HPX Membership Application Form

To,

The Membership Department,
Hindustan Power Exchange Limited
8th Floor, World Trade Tower,
Sector 16, Noida,
Uttar Pradesh - 201301

Dear Sir,

I/We am/are desirous of becoming a **Proprietary/ Trader/ Facilitator Member** (Strike out whichever is not applicable) of Hindustan Power Exchange Limited (HPX).

I/we hereby apply for the said membership and, undertake, to conform to and to abide by the Memorandum & Articles of Association and the Rules, Byelaws, Regulations, Business Rules, Circulars, Notifications, and Office Orders, issued by the Exchange, from time to time.

I/We shall be liable for all contracts and transactions in the Exchange entered by us or by our authorized persons and I/We shall comply with all the requirements of the Exchange relating to settlement thereof.

I/We shall also abide by all decisions of the Exchange with respect to the operations of the Exchange and would perform accordingly in meeting my/our financial, regulatory, and operational responsibility as decided by the Exchange from time to time.

I/We agree to be responsible for all non-compliance and the Exchange shall reserve all rights of disciplinary action for any non-compliance by me/us.

1.	Name of the Applicant:	
2.	Registered Office Address:	
	City:	State:
	Pin code:	Telephone No.:
	Fax No: (with STD Code):	Email:
	Name of the contact person(s):	
	Mobile No.:	
3.	Address for Correspondence:	
	City:	State:
	Pin code:	Telephone No.:
	Fax No: (with STD Code):	Email:
	Name of the contact person(s):	
	Mobile No.:	

Authorized Signatory: _____

Company Stamp / Common Seal

	System details (For Proprietary Member trading on his own behalf)	
	a) Maximum Capacity to Inject (MW)	
	b) Maximum Capacity of Drawl (MW)	
4.	c) Voltage Level	
	d) Point of Connection (Name of EHV station of ISTS/In STS)	
	e) If the applicant is embedded in DISCOM	
	f) Name of DISCOM:	g) State:
5.	Nationality:	
6.	Constitution:	Individual/Registered Partnership Firm/Private Ltd Co. / HUF / Public Ltd Co / Institution / PSU / Others
7.	Date of Incorporation/Registration:	
8.	Details of Chief Executive / Proprietor / Partner / Director	
	Name:	
	Designation:	
	Tel. No:	Fax No. (with STD Code):
	Mobile No:	Email ID:
9.	PAN Number:	
10.	GST Number:	
	Details of your Existing Current Account Bank Details	
11.	Name and Branch Address:	
	Account No:	Account is Operational since:
12.	Present Business/Occupation:	
13.	Present Net worth (Exempt if Govt. company)	INR lakh, as on date:

Authorized Signatory: _____

Company Stamp / Common Seal

14.	Please give the following details of the applicant: Strike out which is not applicable (Yes/No)	
	a) Have you been declared/rendered incompetent to enter into the contract under any law in force in India?	Yes/No
	b) Have you ever been declared a defaulter by any Regulator/Association or Exchange recognized by the Government under any law?	Yes/No
	c) Whether any court case is pending against applicant/directors/partners?	Yes/No
	d) Have you ever been pronounced guilty of a criminal offense involving moral turpitude?	Yes/No
	e) Has any disciplinary action been taken by any commodity/stock exchange against you or any of the partners/promoters/directors of yours?	Yes/No
	f) Have you ever been adjudged bankrupt or have been proved to be insolvent at any time?	Yes/No
	g) Have you ever been involved in litigations, lawsuits or proceedings or have been involved in any financial liability of contingent or unascertained nature?	Yes/No
	h) Have you ever been at any time convicted of an offense involving fraud or dishonesty or financial irregularities?	Yes/No
	i) Have you ever been associated with or a trading member of or subscriber to or a shareholder or debenture holder of any other commodity /Stock exchange?	Yes/No
	j) Have you ever been denied/rejected membership of any commodity/stock exchange or commercial organization? If yes, when?	Yes/No
	k) Have you committed any act which may render you liable to be wound up?	Yes/No
	l) Have you ever been suspended/expelled/declared a defaulter on any other commodity/ stock exchange or have been debarred from trading in commodities/securities by any Regulatory Authorities like FMC / RBI / SEBI etc.?	Yes/No
	m) Have you ever had a provisional liquidator or receiver, or official liquidator appointed by a competent court against you?	Yes/No
	n) Have you ever been punished for contravention of any of the provisions of the Electricity Act, 2003, or any rules or regulations made thereunder?	Yes/No
(In case answers to any of the above questions are yes, then please provide relevant details enclosing a separate sheet)		

Authorized Signatory: _____

Company Stamp / Common Seal

Declaration

We undertake that any misstatement or misrepresentation or suppression of facts in connection with this application for membership or breach of any undertaking or condition of admission to membership may entail rejection of our application or expulsion of our membership.

I/We hereby state that the above-mentioned particulars are true, correct, and complete to the best of my/our knowledge and information. I/We also state that no relevant material fact has been suppressed while applying for the HPX Membership.

I/We shall appear for an interview, whenever called by the Exchange for my/our seeking the membership of the Exchange.

I/We shall pay the required fee and deposit to the Exchange and submit the necessary documents as stated in the terms and conditions and any other document as required by the Exchange for my/our seeking the membership of the Exchange.

I/We understand that we will be allowed to facilitate the sale and purchase of both Power/REC/EScerts under this membership.

Date:

Place:

Names of the Signatory: _____

Designation of the Signatory: _____

Name of the organization: _____

Photograph:

Affix Authorized
Signatory photograph
and sign across the
photograph.

Authorized Signatory: _____

Company Stamp / Common Seal

Instruction For HPX Membership Form

- *Please read the terms, conditions, and guidelines carefully before filling up the application form.*
- *Please attach all relevant documents along with this application.*
- *Please use additional sheets to furnish information, wherever necessary.*
- *Hindustan Power Exchange or The Exchange or HPX has been used interchangeably unless otherwise specified.*

Authorized Signatory: _____

Company Stamp / Common Seal